

**Safe, Accountable,
Flexible, Efficient
Transportation Equity Act:
A Legacy for Users
(SAFETEA-LU)**

**2007
Planning Analysis Tool
For
Kentucky MPO's**

MPO Name: _____

1. MPO Designation

A. The MPO Policy Board shall be represented by jurisdictions consisting of the following:

1. Local elected officials?

_____ Yes _____ No

2. Appropriate State officials?

_____ Yes _____ No

3. Officials of public agencies that administer or operate major modes of transportation in the metropolitan area?

_____ Yes _____ No

2. Metropolitan Planning Area Boundaries

A. Does the forecast period cover at least a 20 year period?

_____ Yes _____ No

B. Were the boundary maps submitted to FHWA/FTA?

_____ Yes _____ No

3. Agreements, Cooperation and Coordination

A. What is the Memorandum of Agreement or Prospectus date? _____

B. Does the Memorandum of Agreement or Prospectus address State/MPO planning and programming responsibilities?

_____ Yes _____ No

C. Does the Memorandum of Agreement or Prospectus address MPO/Transit operators cooperative procedures and programming?

_____ Yes _____ No

D. Does the MPO have a documented procedure in the Participation Plan to consult with state and local officials responsible for other types of appropriate planning activities?

_____ Yes _____ No

Possible agencies for consultation include:

(**Note:** If no consultation occurred, list agency to be consulted).

Airport operations _____ Yes _____ No

Agency _____

Conservation _____ Yes _____ No

Agency _____

Economic development _____ Yes _____ No

Agency _____

Environmental protection _____ Yes _____ No

Agency _____

Freight movement _____ Yes _____ No

Agency _____

Historic preservation _____ Yes _____ No

Agency _____

Natural resources _____ Yes _____ No

Agency _____

Non-emergency transportation services providers receiving financial assistance other than Title 49 U.S.C. Chapter 53 _____ Yes _____ No

Agency _____

Planned growth / land use management _____ Yes _____ No

Agency _____

Recipients of assistance under Title 49 U.S. C. Chapter 53

_____ Yes _____ No

Agency _____

Recipients of assistance under Section 504 _____ Yes _____ No

Agency _____

Safety/security operations _____ Yes _____ No

Agency _____

Other agencies that were consulted not identified above:

_____ (list agency/official)
_____ (list agency/official)
_____ (list agency/official)
_____ (list agency/official)

E. Are the documented consultation procedures referenced or duplicated in the Memorandum of Agreement or Prospectus?

_____ Yes _____ No

F. Is the MPO in a maintenance or non-attainment area?

_____ Yes _____ No

If Yes, list the Counties and Pollutant for each pollutant:

<u>County</u>	<u>Pollutant</u>
_____	_____
_____	_____
_____	_____

If Yes, the following questions need to be answered:

1. Is there a documented agreement between MPO, KYTC, State and local air quality agencies, describing their respective roles and responsibilities for air quality related transportation planning?

_____ Yes _____ No

2. If the maintenance or non-attainment areas include “donut” areas, do the documented agreements also include the applicable local public agencies?

_____ Yes _____ No

3. If more than one MPO serves the maintenance or non-attainment area or the metropolitan planning area, does the MPO have a written agreement for process?

_____ Yes _____ No

4. If more than one MPO serves the maintenance or non-attainment area or the metropolitan planning area, is coordination and cooperation documented?

_____ Yes _____ No

4. Participation Plan

- A. Does the MPO have an adopted Participation Plan?

_____ Yes _____ No

Date of the adoption of the Participation Plan by MPO: _____

B. Does the adopted Participation Plan include or document the following:

- _____ 45-day public review period for the Participation Plan.
- _____ Mechanism for periodic review of the Participation Plan.
- _____ Provides for timely notice of meetings.
- _____ Provides reasonable access to the contents of the TIP and LRTP to the maximum extent possible.
- _____ Commits to holding public meetings at convenient and accessible locations and times.
- _____ Commits to employing visualization techniques to describe TIP and LRTPs.
- _____ Commits to making public information available in electronically accessible formats and means (such as worldwide web).
- _____ Commits to consideration of public input.
- _____ Commits to seek out and consider the needs of the traditionally underserved
- _____ Provides contact information for the following coordinators.
 - _____ Title VI
 - _____ ADA
 - _____ Section 504
- _____ Coordinated with the Statewide Participation Plan(s).
- _____ Documentation of the consultation process with State and local agencies responsible for land use management, natural resources, environmental protection, conservation, and historic preservation.

- C. Does the adopted Participation Plan provide a reasonable opportunity to involve citizens, affected public agencies, representatives of public transportation employees, freight shippers, providers of freight services, private transportation providers, bike and pedestrian representatives, representatives of the disabled, safety and security agencies, providers of non-emergency transportation, and other interested parties?

_____ Yes _____ No

If No: _____

- D. If the final Planning Process Document is substantially different than the draft, are there provisions for an additional comment period?

_____ Yes _____ No

If No: _____

- E. Are the Planning Process Products posted on the world wide web?

_____ Yes _____ No

If No: _____

5. Unified Planning Work Program (UPWP)

- A. Has the UPWP been developed cooperatively between MPO, the State and operators of publicly owned transit?

_____ Yes _____ No

6. Elements of Planning Process

A. Does the Planning Process Products reflect consideration of the eight Planning factors?

_____ TIP _____ L RTP _____ Corridor Plans/Special Studies

1) Support the economic vitality of the metropolitan area, especially by enabling global competitiveness, productivity, and efficiency?

_____ Yes _____ No

If no, _____

2) Increase the safety of the transportation system for motorized and non-motorized users?

_____ Yes _____ No

If no, _____

3) Increase the security of the transportation system for motorized and non-motorized users?

_____ Yes _____ No

If no, _____

4) Increase the accessibility and mobility of people and for freight?

_____ Yes _____ No

If no, _____

5) Protect and enhance the environment, promote energy conservation, improve the quality of life, and promote consistency between transportation improvements and state and local planned growth and economic development patterns?

_____ Yes _____ No

If no, _____

6) Enhance the integration and connectivity of the transportation system, across and between modes, for people and freight?

_____ Yes _____ No

If no, _____

7) Promote efficient system management and operation?

_____ Yes _____ No

If no, _____

8) Emphasize the preservation of the existing transportation system?

_____ Yes _____ No

If no, _____

B. Is the MPO Planning Process consistent with the federal Title VI Assurance by the state and the Environmental Justice Executive Order?

_____ Yes _____ No

C. Does the MPO Planning Process identify actions needed for compliance with ADA and Section 504 of the Rehabilitation Act of 1973?

_____ Yes _____ No

D. Is the MPO Planning Process consistent with the goals and objectives of the Statewide Regional ITS Architecture, as applicable?

_____ Yes _____ No

E. Are the goals and objectives of the approved Strategic Highway Safety Plan (SHSP) considered in the metropolitan transportation planning process?

_____ Yes _____ No

If No, will it be taken into account at the next plan update? _____

F. Are the goals and objectives of the Coordinated Public Transit – Human Services Transportation Plan considered in the metropolitan transportation planning process?

_____ Yes _____ No

7. Metropolitan Long Range Transportation Plan (LRTP)

A. Does the LRTP have at least a 20-year planning horizon from the effective date?

_____ Yes _____ No

B. Is the update cycle for the LRTP at least every 4 years for maintenance and non attainment areas, or at least every 5 years for attainment areas?

_____ Yes _____ No

1. If maintenance or non-attainment area, does the LRTP include an air quality conformity determination?

_____ Yes _____ No

C. The LRTP addresses each of the following:

- _____ Identify the projected transportation demand of people and goods in metropolitan planning area over the time period of the plan.
- _____ Existing and proposed facilities including roads, transit, multimodal facilities, intermodal facilities, bicycle facilities and pedestrian/walkway facilities.
- _____ Identifies congestion management strategies.
- _____ Transit enhancement.
- _____ Freight.
- _____ Operations and management of the existing and proposed system to improve the performance of existing transportation facilities to relieve congestion and to maximize the safety and mobility of people and goods.
- _____ Financial plan that includes strategies for implementation of any new funding sources.
- _____ Consultation with state and local agencies in accordance with the Participation Plan (Refer to Section 3D on page 2).
- _____ List of all existing and proposed transportation projects including design concept (mode and alignment) and scope (of sufficient detail to prepare a cost estimate), and a planning level cost estimate.
- _____ General discussion of types of potential environmental mitigation activities at the corridor or regional level (i.e. policy/strategy level, not project specific) and potential areas to carry out activities developed in consultation with Federal, state and tribal wildlife, land management and regulatory agencies.
- _____ Reflect the goals and objectives of approved SHSP related to the MPO region.
- _____ For TMAs, Congestion Management Process (CMP formerly CMS), no later than 1 year after identification as a TMA. CMP must include plan and schedule for implementation of CMP projects.

_____ Follows the Participation Plan.

_____ Available electronically on the worldwide web.

- D. Did agency consultation involve review and comparison with State conservation plans or maps (if available) or review and comparison to inventories of natural or historic resources (if available)?

_____ Yes _____ No

- E. If major comments were received for the LRTP, is there a summary, analysis and report addressing the comments and identifying how those comments were considered in the LRTP?

_____ Yes _____ No

- F. If maintenance or nonattainment area, has the MPO, FHWA and FTA made an air quality conformity determination in accordance with the Clean Air Act and EPA's transportation conformity regulations?

_____ Yes _____ No

8. Transportation Improvement Program (TIP)

- A. Is the TIP cooperatively developed at least every four years and approved by the MPO and the Governor?

_____ Yes _____ No

- B. Does the TIP cover at least a 4-year period?

_____ Yes _____ No

- C. Are the projects in the TIP prioritized by year?

_____ Yes _____ No

D. Does the approved TIP document that the requirements of the Participation Plan were followed?

_____ Yes _____ No

E. Fiscal Planning

1) Is there a Financial Plan?

_____ Yes _____ No

2) If the MPO is designated as a maintenance or nonattainment area, is the TIP fiscally constrained for the first two years?

_____ Yes _____ No

3) Were funding estimates developed cooperatively with the MPO, State Transportation Agencies and Public Transit Agencies?

_____ Yes _____ No

4) Are operational and maintenance costs included in TIP?

_____ Yes _____ No

F. Does the TIP include the following:

_____ All projects are consistent with the adopted LRTP.

_____ All regionally significant projects, regardless of source of funding. This may include, federal, state, local or private investments or combinations of funding sources.

_____ Listing of projects by year, including pedestrian walkways and bicycle transportation facilities cooperatively developed with MPO partners.

_____ Consultation with state and local agencies in accordance with the Participation Plan (Refer to Section 4C on page 5).

_____ If maintenance or non-attainment area, documentation of coordination with Clean Air Act Agencies.

_____ Projects implementing paratransit and key station plans required by ADA.

G. Does each project in the TIP include the following information:

- _____ Sufficient description material to identify the project or phase (i.e. type of work, termini, length, etc.).
- _____ Estimated total project cost.
- _____ Amount of Federal funds proposed to be obligated each program year.
- _____ Proposed source(s) of funds.
- _____ Identification of agency responsible for carrying out project.

H. If maintenance or nonattainment area, are projects that are identified as Transportation Control Measures (TCM's) in the state air quality agency's State Implementation Plan (SIP) identified in the TIP?

_____ Yes _____ No

I. Does the TIP document the following information?

- _____ Project prioritization process and/or criteria.
- _____ Project status from the previous TIP.

J. If maintenance or non-attainment area, does the TIP includes an air quality conformity determination?

_____ Yes _____ No

K. Is the project amendment and adjustment procedures for the TIP documented?

_____ Yes _____ No

L. If major comments were received for the TIP, is there a summary, analysis and report addressing the comments and identifying how those comments were considered in the TIP?

_____ Yes _____ No

9. Annual Listing of Obligated Transportation Projects

- A. Was a listing of projects, (including pedestrian and bicycle facilities) for which federal highway or transit funds were obligated during the preceding program year, developed by September 30th (or 90 days from the end of the state program year)?

. _____ Yes _____ No

If No, will one be developed for FY2007? _____ Yes _____ No

- B. Did the listing, at a minimum, include the information for the project shown in the TIP, and the funds requested in the TIP, the federal funds obligated and the federal funds remaining and available for the following years?

_____ Yes _____ No

- C. Was this annual listing of obligated projects made publicly accessible in accordance with the MPO's Participation Plan?

_____ Yes _____ No